REPORT DOCUMENTATION PAGE		Form Approved OMB NO. 0704-0188		
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03-04-2008	Final Report			15-Aug-2006 - 14-Feb-2008
4. TITLE AND SUBTITLE	·	5	5a. CONTRA	ACT NUMBER
Image Science Research for Speckle-based LADAR (Speckle Research for 3D Imaging LADAR)		W911NF-06-1-0371		
		5b. GRANT NUMBER		
		5	c. PROGRA	AM ELEMENT NUMBER
		611102		
6. AUTHORS		5	d. PROJEC	T NUMBER
Nicholas George, PI				
		5	e. TASK N	UMBER
		5	f. WORK U	NIT NUMBER
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University of Rochester			NU	MBER
Office of Research & Project Administration				
518 Hylan Bldg., River Campus Box 270140 Rochester, NY 1462	7 -			
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U.S. Army Research Office			11. 5	SPONSOR/MONITOR'S REPORT
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The views, opinions and/or findings contained in the of the Army position, policy or decision, unless so	-		should not co	ontrued as an official Department
14. ABSTRACT We propose a basic study of image science to For this imaging radar, we study two basic syst configuration. Three major research projects are object, (2) study of performance of this compact dependence of speckle for a thick diffuser. A base experiments is planned. These will feature low	em configurations: a we re (1) study of speckle put taladar at photon count alanced research progr	avefront sens patterns to es ing light leve am of theory	sing configu stablish 3D ls, and (3) s , computer	rration and an imaging qualitative features for a remote space and wavelength simulations, and laboratory
15. SUBJECT TERMS				

15. NUMBER

OF PAGES

Image Science; Speckle; Low photon level; LADAR; wavelength dependence; thick diffuser; Infrared hologram

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c. THIS PAGE

U

16. SECURITY CLASSIFICATION OF:

U

a. REPORT

U

b. ABSTRACT

17. LIMITATION OF

ABSTRACT

19a. NAME OF RESPONSIBLE PERSON

Nicholas George

585-275-2417

19b. TELEPHONE NUMBER

Report Title

Image Science Research for Speckle-based LADAR (Speckle Research for 3D Imaging LADAR)

ABSTRACT

We propose a basic study of image science topics related to a new class of speckle-based laser-ranging radars (LADAR). For this imaging radar, we study two basic system configurations: a wavefront sensing configuration and an imaging configuration. Three major research projects are (1) study of speckle patterns to establish 3D qualitative features for a remote object, (2) study of performance of this compact ladar at photon counting light levels, and (3) space and wavelength dependence of speckle for a thick diffuser. A balanced research program of theory, computer simulations, and laboratory experiments is planned. These will feature low light level speckle studies with tunable/multi-tone lasers. For the first area of study, we develop a new thick diffuser for studies of turbidity and imaging. The diffuser consists of three to five different polysterene spheres emmersed in agar. Additionally we have published a paper describing the first infrared hologram at 10.6 microns. It is expected to be useful for examination of silicon boules. In this study we will employ machine vision techniques and neural networks to ascertain the minimum number of photons that are required to set the boundaries or shape contours of a speckle. The study of space and wavelength dependence of speckle for a thick diffuser is important for assessing LADAR system performance in the presence of fog or smoke in the atmosphere. We seek to establish the capacity for these LADARS to see through turbulences and turbidity. Major objectives of this research are to contribute to the understanding of speckle phenomena and the feasibility of remote object classification using novel 3D-imaging means.

List of papers submitted or published that acknowledge ARO support during this reporting period. List the papers, including journal references, in the following categories:

(a) Papers published in peer-reviewed journals (N/A for none)

- 1. Nicholas George, Kedar Khare, Wanli Chi, "Infrared holography using a microbolometer array," Applied Optics, 47, A7-A12 (2008).
- 2. Nien-an Chang and Nicholas George, "Speckle in the 4F optical system," Applied Optics, 47, A13-20 (2008).
- 3. Kedar Khare, "Sampling theorem, bandlimited integral kernels and inverse problems," Inverse Problems 23, 1395-1416 (2007).
- 4. Kaiqin Chu and Nicholas George, "Correlation function for speckle size in the right-half-space," Optics Commun. 276, 1-7 (2007).
- 5. Weizhen Yan, Sizing and classification of biological particles using ring-wedge detector and neural networks, Phd thesis, University of Rochester (2007).
- 6. Xi Chen, Gradient Fiber Array for Imaging, PhD thesis University of Rochester (1/2007).
- 7. Kedar Khare, "Bandpass sampling and bandpass analogures of prolate spheroidal functions," Signal Processing 86, 1550 (2006).

Number of Papers published in peer-reviewed journals: 7.00

(b) Papers published in non-peer-reviewed journals or in conference proceedings (N/A for none)

Nicholas George, Kedar Khare, Wanli Chi, "Electronic holography at terrahertz and infrared frequencies," Proc. of 7th International Symp. on display holography (Wales), 117-119 (2006).

Number of Papers published in non peer-reviewed journals: 1.00

(c) Presentations

- 1. Nien-An Chang, "Speckle in 4-f system," University of Rochester Industrial associate meeting (2008).
- 2. Nicholas George, Wanli Chi, Joel Bentley, and Kedar Khare, "Infrared holography at 10.6 microns using microbolometer array," ICO20 Capri (2007).
- 3. Wanli Chi and Nicholas George, "LED illumination design with a condensing sphere," OSA annual meeting, Rochester NY (2006).
- 4. Xi Chen and Nicholas George, "Resolution analysis of GRIN array imaging system," OSA annual meeting, Rochester NY (2006).
- 5. Kaiqin Chu and Nicholas George, "Speckle in the right half space," OSA annual meeting, Rochester NY (2006).
- 6. Nien-an Chang and Nicholas George, "Speckle in 4-f system," OSA annual meeting, Rochester NY (2006).

Number of Presentations: 6.00

Non Peer-Reviewed Conference Proceeding publications (other than abstracts):

See Presentation section.

Peer-Reviewed Conference Proceeding publications (other than abstracts):

Invited talk at ICO20 meeting in Capri 2007 and invited talk at Symp. 7th international Symp. on holographic display (2006).

Number of Peer-Reviewed Conference Proceeding publications (other than abstracts):

2

(d) Manuscripts

- 1. Kaiqin Chu, Nicholas George, "Lensless electronic imaging under incoherent illumination," (to be submitted to Applied Optics).
- 2. Kaiqin Chu, Wanli Chi, Nicholas George, "Extended depth of field by 2:1 through polarization coded aperture," (to be submitted to Applied Optics).
- 3. Kaiqin Chu, Wanli Chi and Nicholas George, "Extended depth of field through unbalanced OPD," (to be submitted to Applied Optics).
- 4. Xi Chen and Nicholas George, "Aberration analysis of GRIN array imaging," (to be submitted to Applied Optics).

Number of Manuscripts:

4.00

Number of Inventions:

Graduate Students

NAME	PERCENT SUPPORTED	
Xi Chen	0.30	
Weizhen Yan	0.30	
Alice Chang	0.50	
Kaiqin Chu	0.50	
Joel Bentley	0.50	
FTE Equivalent:	2.10	
Total Number:	5	

Names of Post Doctorates

<u>NAME</u>	PERCENT SUPPORTED	
Wanli Chi	0.25	
FTE Equivalent:	0.25	
Total Number:	1	

Names of Faculty Supported

NAME	PERCENT SUPPORTED	National Academy Member
Nicholas George	0.10	No
FTE Equivalent:	0.10	
Total Number:	1	

Names of Under Graduate students supported

<u>NAME</u>	PERCENT SUPPORTED	
FTE Equivalent:		
Total Number:		

This section on	ly applies to graduating undergraduates supported by this agreement in this reporting period
The numbe	The number of undergraduates funded by this agreement who graduated during this period: 0.00 or of undergraduates funded by this agreement who graduated during this period with a degree in science, mathematics, engineering, or technology fields: 0.00
The number of	of undergraduates funded by your agreement who graduated during this period and will continue to pursue a graduate or Ph.D. degree in science, mathematics, engineering, or technology fields: 0.00
Numbe	Number of graduating undergraduates who achieved a 3.5 GPA to 4.0 (4.0 max scale): 0.00 er of graduating undergraduates funded by a DoD funded Center of Excellence grant for Education, Research and Engineering: 0.00
The number	oer of undergraduates funded by your agreement who graduated during this period and intend to work for the Department of Defense 0.00 of undergraduates funded by your agreement who graduated during this period and will receive hips or fellowships for further studies in science, mathematics, engineering or technology fields: 0.00
	Names of Personnel receiving masters degrees
<u>NAME</u>	
Total Number:	
	Names of personnel receiving PHDs
<u>NAME</u> Xi Chen Weizhen Yan	
Total Number:	2
	Names of other research staff
<u>NAME</u>	PERCENT_SUPPORTED

Student Metrics

Sub Contractors (DD882)

FTE Equivalent: Total Number:

5 Illumination with condensing sphere

Patent Filed in US? (5d-1) N

Patent Filed in Foreign Countries? (5d-2) N

Was the assignment forwarded to the contracting officer? (5e) N

Foreign Countries of application (5g-2):

5a: Wanli Chi and Nicholas George

5f-1a: University of Rochester

5f-c: 275 Hutchison Rd

Rochester NY 14627

Technology Transfer

- 1. The PI participated in a visit to the Photnics Center at West Point for the purpose of reviewing their research program. In addition, he is discussing some research topics in speckle LADAR with the Academy faculty. This is a continuing effort by the PI.
- 2. Both PI and Dr. Wanli Chi are actively pursuing industrial interactions with a number of companies including Hand Held Products, inc./ Minneapolis HoneyWell, and Micron inc.

Summary of important results

- 1. During the past year, Micron inc. has started a major preproduction development of the logarithmic aspherical lens invented under ARO sponsorship. This development is planned to lead to major mass production starting at 1 million cell phone cameras per month and if it is successful ramping up production by 4 fold. This effort has received important technology transfer by the PI and Micron has also hired 5 graduates from U of R and RIT to staff the development. This important result is explained in detail in the attached pdf file that contains visuals.
- 2. A particularly significant accomplishment during the past 9 months has been the discovery by Wanli Chi of a novel new camera system that has particular application to DoD and to remote sensing. It is based on an adaptation of a concept used in X-rays and originally proposed by Princeton's R. H. Dicke. This system is in a formative state at present. It has not yet been reduced to a practical system. Each component piece of the Dicke-Chi camera is being tested or designed for later reduction to practice. This novel system is described in the attached pdf file below.
- 3. The field correlation of radiation of radiation from thin diffuser is studied over the whole right half hemisphere. The formulas for the speckle size are valid for nonparaxial whole half hemisphere.
- 4. A comprehensive review paper in which we describe speckle in the 4F optical system is published in the Applied Optics issue dedicated to Professor Emmett N. Leith. Many phenomena about speckle are explained in an accurate elegant manner by the choice of this optical system. The troublesome quadratic phase factors associated with Fresnel zone propagation are eliminated in the imaging and transform regimes. Both space and wavelength dependence are included.

- 5. We have developed a really practical hologram system for the 10 micron infrared wavelength. The heart of the system is the use of a microbolometer array for recording the hologram. We believe this technology will have many practical application in production of metal parts and silicon fabrication.
- 6. A novel approach based on sampling theorem for studying eigenvalue problems associated with bandlimited integral kernels of convolution type is studied in detail and published by Kedar Khare in the respected mathematics journal called inverse problems. Dr. Khare has accepted a position as a senior imaging scientist at the famous General Electric Research Laboratory in New York.

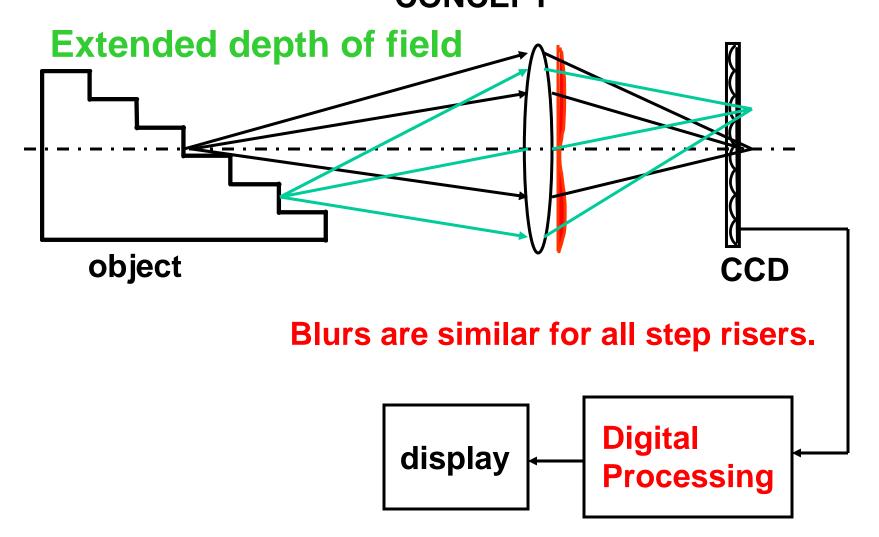
INTEGRATED COMPUTATIONAL IMAGING SYSTEM FOR EXTENDED DEPTH OF FIELD: THE LOGARITHMIC ASPHERE +

NICHOLAS GEORGE WANLI CHI

THE INSTITUTE OF OPTICS
UNIVERSITY OF ROCHESTER

March 2008

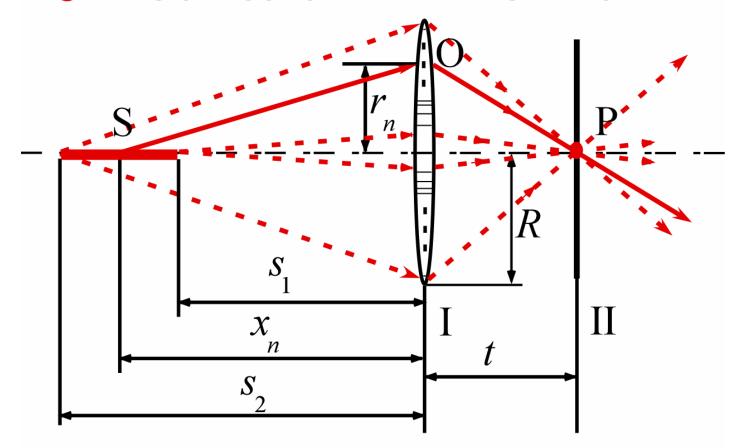
+ RESEARCH SUPPORTED BY ARO (PHYSICS - R. HAMMOND)



Concept explained by Dr George in our group meeting, 1999

NEXT STEP: logarithmic asphere (α lens)

PHYSICAL CONCEPT-FERMAT'S PRINCIPLE



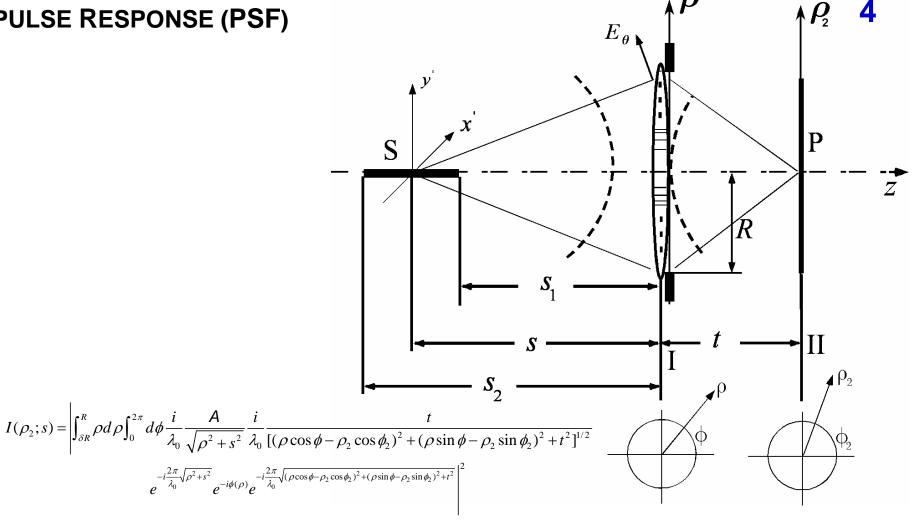
PHASE TRANSMISSION

$$\phi(r) = -\frac{2\pi}{\lambda_0} \int_0^r \left\{ \frac{r}{\sqrt{r^2 + t^2}} + \frac{r}{\sqrt{r^2 + [s_1 + (s_2 - s_1)r^2/R^2]^2}} \right\} dr$$

CHI AND GEORGE, OPTICS LETTERS 26, 875 (2001).

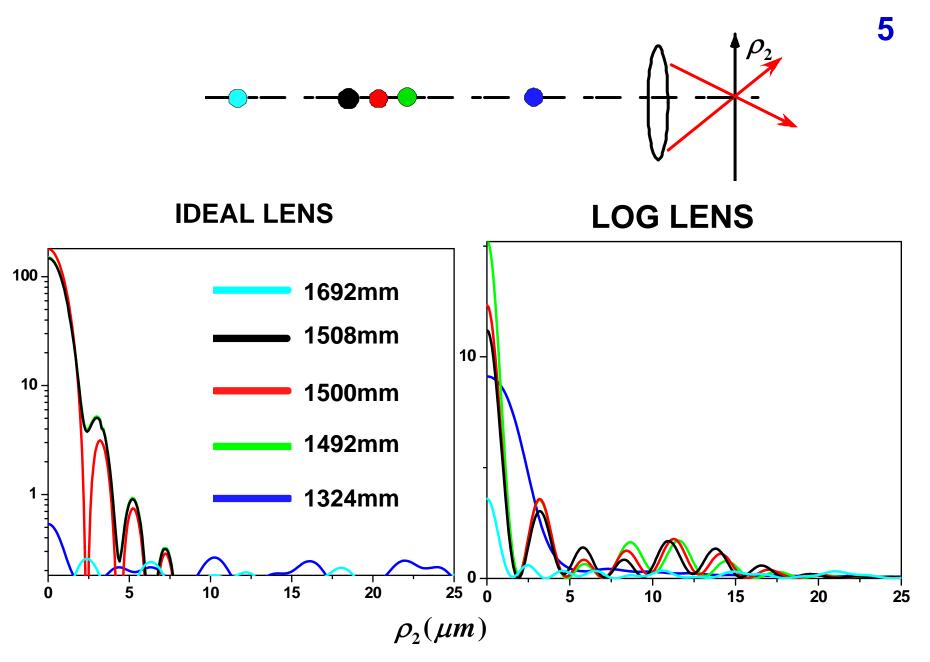
GENERALIZED THEORY FOR THE LOGARITHMIC ASPHERE $t(\rho) = e^{-i\phi(\rho)}$

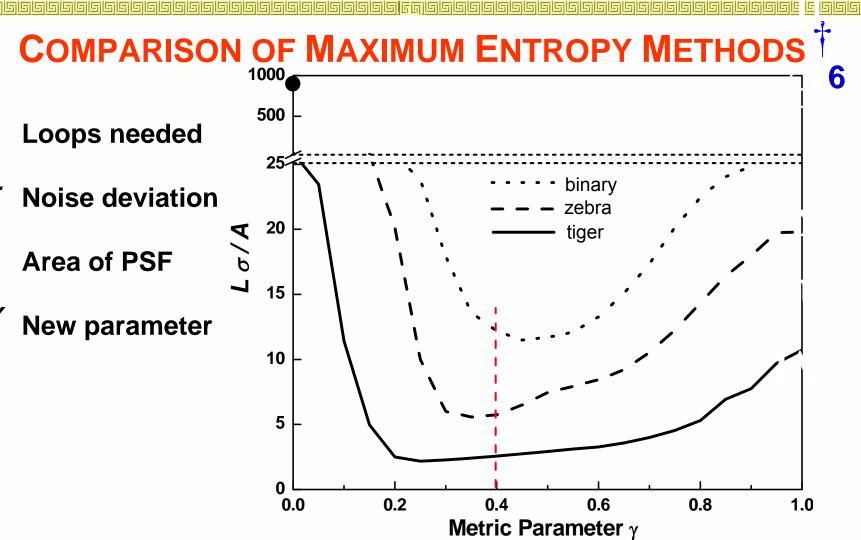




$$I(\rho_{2};s) \simeq \left| \int_{0}^{R} d\rho 2\pi \rho J_{0}(\frac{2\pi\rho\rho_{2}}{\lambda_{0}\sqrt{t^{2}+\rho^{2}}}) \frac{e^{-i\frac{2\pi}{\lambda_{0}}\sqrt{s^{2}+\rho^{2}}-i\phi(\rho)-i\frac{2\pi}{\lambda_{0}}\sqrt{t^{2}+\rho^{2}}}}{\sqrt{s^{2}+\rho^{2}}\sqrt{t^{2}+\rho^{2}}} \right|^{2}$$

POINT SPREAD FUNCTIONS FOR VARIOUS OBJECT POINTS

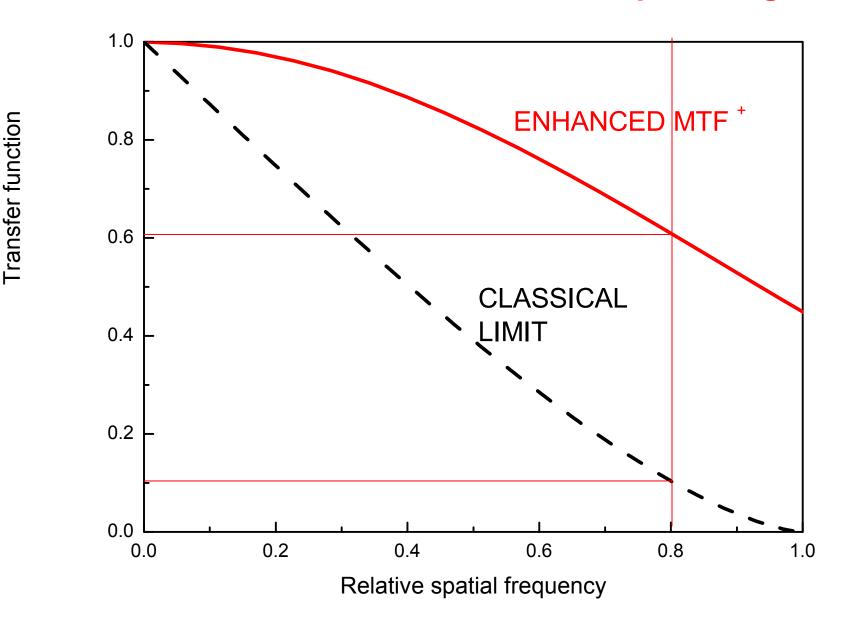




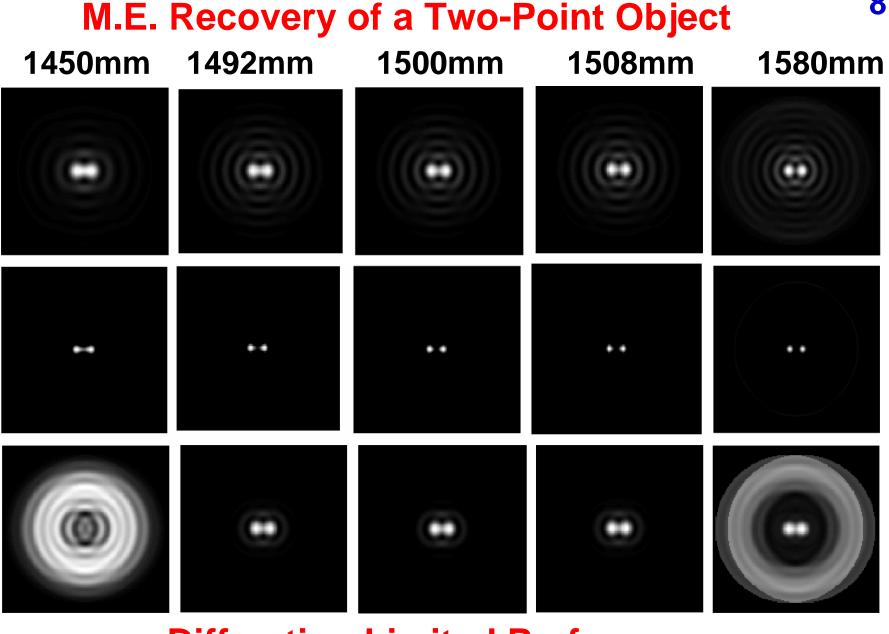
 γ =0, steepest ascent; γ =1, Burch, Skilling, Gull;

W. CHI AND N. GEORGE, JOSA (2003).

OVERALL TRANSFER FUNCTION: Optics+Digital



+ NEW DISCOVERY IMPORTANT FOR INFRARED IMAGING



Diffraction Limited Performance 8 x EXTENDED DEPTH OF FIELD

M.E. Recovery of a Picture Blurred by Log Lens

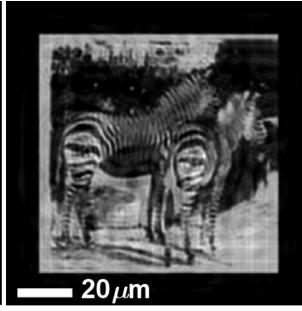
Object distance at 1580mm (Best focus plane at 1500mm)

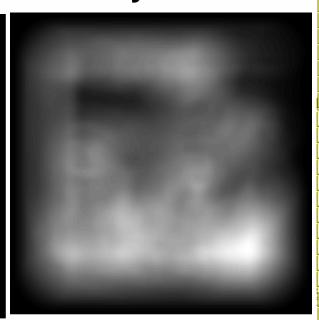
Blur by Log lens

Recovery

Blur by ideal lens







Chi and George, JOSA 2003.

TOPIC

MAJOR RESULTS

EXTENDED DEPTH OF FIELD

8 TO 10x CLASSICAL LIMIT (Pat 1)

MAXIMUM ENTROPY – METRIC PARAMETER FORM

PROVIDES SUPERIOR IMAGE RECOVERY (Patent 2)

. THE RINGING IS LOW

. THE MTF IS BETTER THAN

THE CLASSICAL LIMIT

. INFRARED TELESCOPE

CONSTRAST ENHANCEMENT OF INFRARED IMAGERY IS SUPERIOR

THIS FACT NEEDS TO BE COUPLED

TO NIGHT VISION LAB AND

TO HOMELAND SECURITY

TECHNOLOGY TRANSFER EDOF PROJECT SUMMARY 11

STARTUP CORPORATIONS GG & C / CMPS, INC.

APPLICATION

INFRARED
TELESCOPE STTR &
HARDENED BOILER
ENVIRONMENT

PATENTS: 2 GRANTED, 2 PENDING

(U.S. Pat. Nos. 6927922 B2; 7336430 B2)

MAJOR INDUSTRIAL PARTICIPANTS

• HANDHELD PRODUCTS, INC

DIVISION OF MINNEAPOLIS/HONEYWELL

BARCODE SCANNER

MICRON IMAGING CORPORATION

CELLPHONE CAMERA

UNCONVENTIONAL INTEGRATED IMAGING AND COMPUTING SYSTEMS

NICHOLAS GEORGE, <u>ngeorge@troi.cc.rochester.edu</u>
Wilson Professor of Electronic Imaging

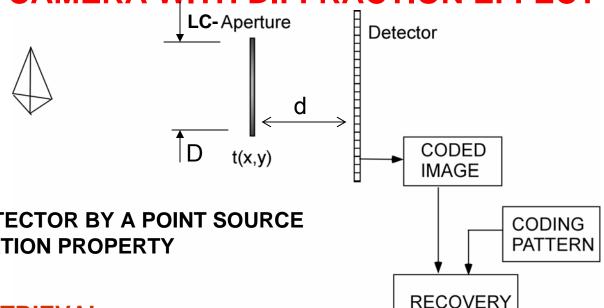
WANLI CHI, chiw@optics.rochster.edu Assistant Professor of Optics (Research)

THE INSTITUTE OF OPTICS UNIVERSITY OF ROCHESTER

March 2008

Prepublication Data
Research Supported by ARO (Physics --- R. Hammond)

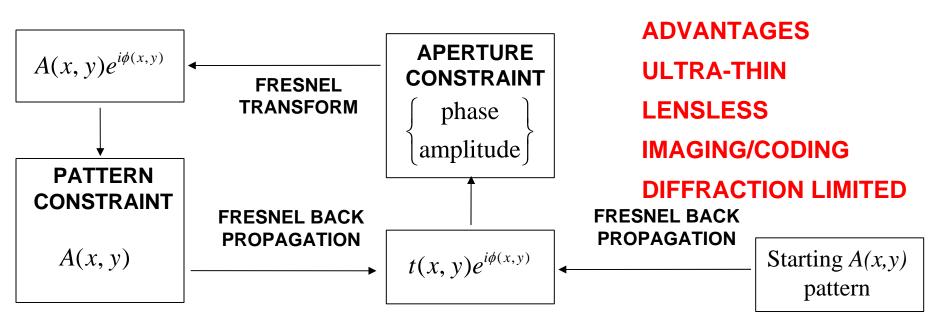
MODIFIED DICKE CAMERA WITH DIFFRACTION EFFECT 2



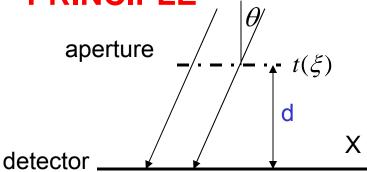
APERTURE REQUIREMENT

PATTERN GENERATED ON DETECTOR BY A POINT SOURCE HAS OPTIMUM AUTOCORRELATION PROPERTY

t(x,y) calculation: FRESNEL ZONE PHASE RETRIEVAL







IMAGING

$$I(x) = \int O(\xi/d) \cdot t(x - \xi) d\xi$$

PROCESSING

$$\hat{O}(-x/d) = \int t(x') \cdot I(x'-x) dx'$$

$$= \int \left[\int t(x') \cdot t(x'-x-\xi) dx' \right] O(\xi/d) d\xi$$

APERTURE, "RANDOM" PINHOLE

$$\int t(x') \cdot t(x' - x - \xi) dx' = \delta(x + \xi) + \dots$$
$$\hat{O}(-x/d) \to O(-x/d) + \dots$$

RESEARCH AREAS

APERTURE DESIGN

(PERFECT δ AUTOCORRELATION FUNCTION IS OPTIMUM)

FRESNEL ZONE PLATE

MERTZ AND YOUNG

- SCATTER-HOLE ARRAY DICKE
- UNIFORM REDUNDANT ARRAY

FENIMORE AND CANNON

PHASE RETRIEVAL (DIFFRACTION)

CHI AND GEORGE

- PROCESSING (OPTICAL & DIGITAL)
 - CROSS CORRELATION
 - PHOTON TAGGING (BACK PROJECTION)
 - LINEAR FILTER
 - MAXIMUM ENTROPY
 - ITERATIVE REMOVAL OF SOURCES

APERTURE DESIGN (CYCLIC DIFFERENCE SET)

 $\{a_1, a_2, \dots, a_s\}$ is CDS if $0 \le a_1 < n$

Either case i) all $(a_i - a_j) \cdot \text{mod } n$ are different for $i \neq j$ or case ii) for any $m \cdot \text{mod } n$ there are z pairs $\{a_i, a_j\}$, $(m = a_i - a_j)$

EXAMPLE: i) $\{0, 5, 6, 9, 19\}$ n = 21

ii) $\{0, 1, 2, 4\}$ n = 7, z = 2 $a_1 \quad a_2 \quad a_3 \quad a_4$

Ex. $m \cdot \text{mod } n = 5$, the pairs are $\{0,2\}$ $\{2,4\}$... = 1, ... $\{1,0\}$ $\{2,1\}$

Mask from ii)

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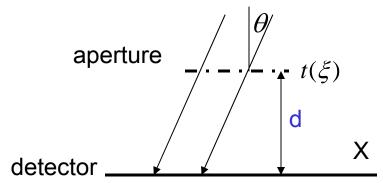
from i)

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Cyclic autocorrelation

TWO SYSTEM REALIZATIONS (COMPLETE CODING)



EACH SOURCE FORMS SAME PATTERN BUT SHIFTED AT DETECTOR

EACH SOURCE FORMS DIFFERENT PATTERN (CYCLIC SHIFTED)

RECOVERY: CROSS CORRELATION
WITH APERTURE (REPEATED
APERTURE) GENERATES THE SOURCE
DISTRIBUTION

RECOVERY: CYCLIC CROSS
CORRELATION WITH APERTURE
GENERATES SOURCE DISTRIBUTION

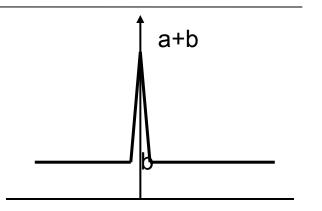
RESOLUTION

$$\delta\theta = \Delta/d$$

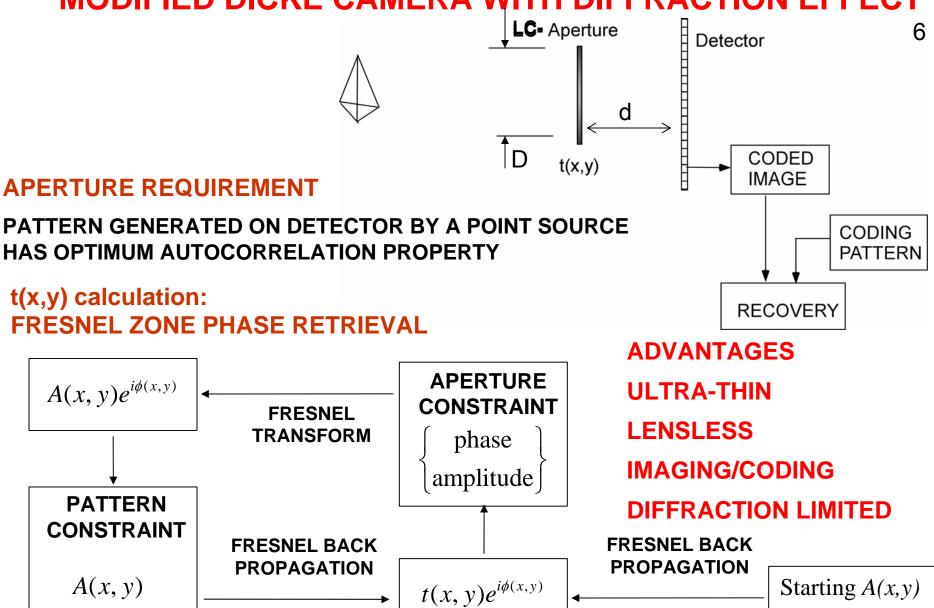
APERTURES SATISFY THE OPTIMUM CONDITION

APERTURE FUNCTION
$$t(n) = \begin{cases} 0 \\ 1 \end{cases}$$

$$\sum_{n=0}^{N} t(n) \cdot t[(n-m) \cdot \text{mod } N] = a \cdot \delta_{nm} + b$$



MODIFIED DICKE CAMERA WITH DIFFRACTION EFFECT



pattern

APERTURE CONSTRAINT CAN BE EITHER AMPLITUDE OR PHASE CONSTRAINT

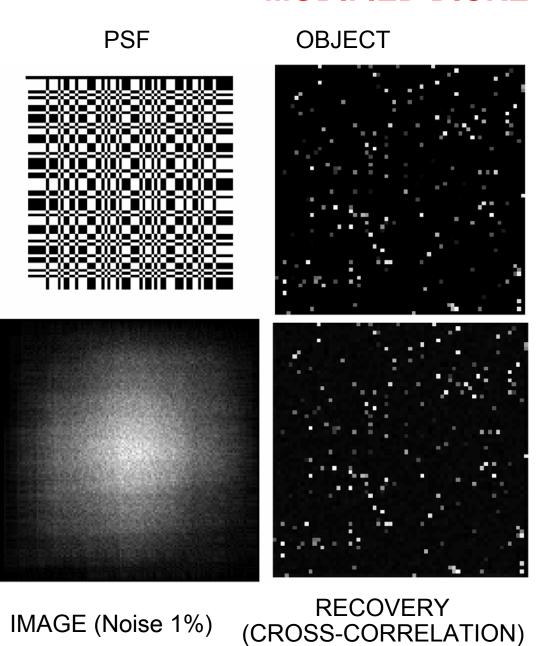
t(x,y) calculation:

 $A(x, y)e^{i\phi(x, y)}$

PATTERN

CONSTRAINT

A(x, y)



ADVANTAGES

ULTRA-THIN

LENSLESS

IMAGING/CODING

DIFFRACTION LIMITED

RESEARCH TOPICS

2007-2009 W CHI

APERTURE DESIGN
PHASE RETREVAL
R G B COLOR

IMAGE PROCESSING
MAX ENTROPY

3-D IMAGING & DOF

RELATED WORK:

Sensor

RANDOM LENS IMAGING+

PRINCIPLE:

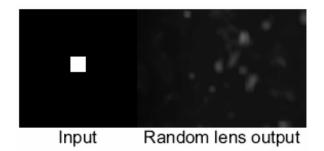
RANDOM PATTERN FORMED ON DETECTOR FOR A SINGLE POINT SOURCE

DECODING:

i) SPACE-VARIANT

MAP DETECTOR PATTERN FOR EACH POINT IN OBJECT SPACE

ii) SPACE-INVARIANT
DEBLURRING PROBLEM



IMPULSE RESPONSE

RESEARCH TOPICS:

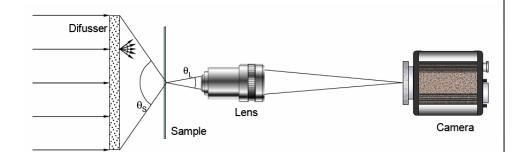
- . PSEUDO RANDOM LENS
- . DIGITAL PROCESSING

RELATED CODED APERTURE TOPICS:

- . CYCLIC DIFFERENCE SET
- . PHASE RETRIEVAL

RELATED WORK: STRUCTURED ILLUMINATION / SUPERRESOLUTION

SPECKLE PATTERN ILLUMINATION



RECORDING

$$O_{\xi}(x) = [g(x) \cdot S(x - \xi)] * h(x)$$

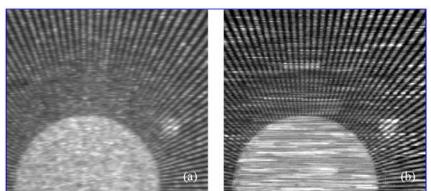
A SET OF IMAGES ARE COLLECTED

DECODING

$$O(x) = \int O_{\xi}(x) \cdot S(x - \xi) d\xi = g(x) * [h(x) \cdot \gamma(x)]$$

$$\gamma(x) = \int S(\xi) \cdot S(x + \xi) d\xi$$

RESULTS



ONE SAMPLE LOW RESOLUTION IMAGE

DECODED FROM A SET OF IMAGES

RESEARCH TOPICS

ILLUMINATION PATTERN

- CYCLIC DIFFERENCE SET
- REMOVAL OF IRREGULARITIES

TWO DIMENSIONAL UNIFORMLY REDUNDANT ARRAY

URA: Ideal cyclic auto-correlation property

A(i,j) is a uniformly redundant array of size RXS R, S are two prime numbers, R-S=2.

$$A(i,j) = \begin{cases} 0 \text{ if } i=0\\ 1 \text{ if } j=0 \text{ & } i\neq 0\\ 1 \text{ if } C_R(i)C_S(j)=1\\ 0 \text{ Otherwise,} \end{cases}$$

where CR(i) =
$$\begin{cases} 1 & \text{if there exists an integer } x, \ 1 \le x < R \\ & \text{such that} \quad i = (x^2) \cdot mod \, R \\ & -1 & \text{Otherwise} \end{cases}$$

and Cs(i) =
$$\begin{cases} 1 & \text{if there exists an integer } x, 1 \le x < S \\ & \text{such that } i = (x^2) \cdot mod S \\ & -1 \text{ Otherwise.} \end{cases}$$

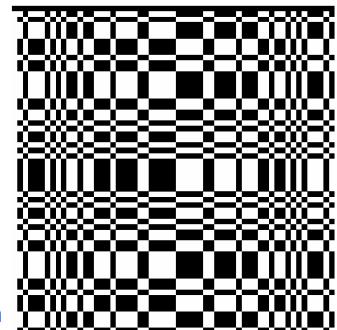
Research Topic:

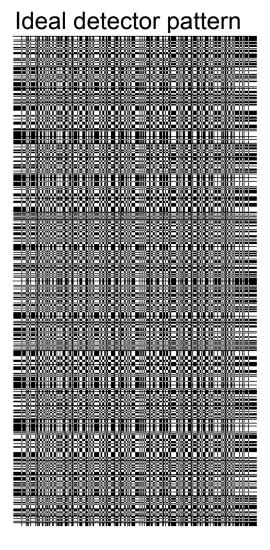
Three or more 2-D arrays for color imaging

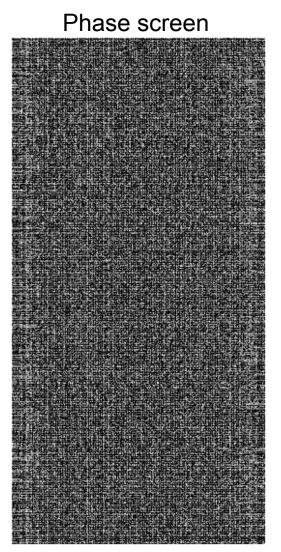
First applied to coded aperture by Fennimore and Cannon

Examples $\begin{bmatrix} 0 & 0 & 0 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix}$

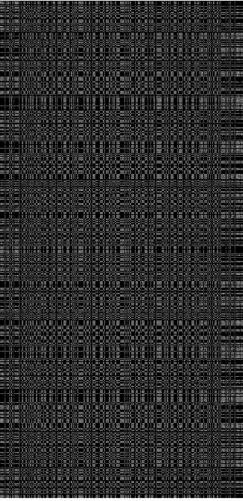
 61×59







Actual detector pattern



Limiting factor: the pixel size of phase screen (RESEARCH TOPIC)

Negligible effect on image quality

OBJECT



RECOVERY (IDEAL)



RECOVERY (LCD PIXEL 8 MICRONS)



- In the case of 8μm pixel phase screen, the actual detector pattern is used for imaging, and the ideal detector pattern is used for recovery.
- A simple cross-correlation method is used.
- Negligible deleterious effect on image quality is observed in actual 8μm pixel screen compared to ideal theoretic one.

PRELIMINARY DESIGN (MONOCHROMATIC ILLUMINATION)

LCD screen

Reflective phase type 1920X1080 pixels Pixel size 8.1µm

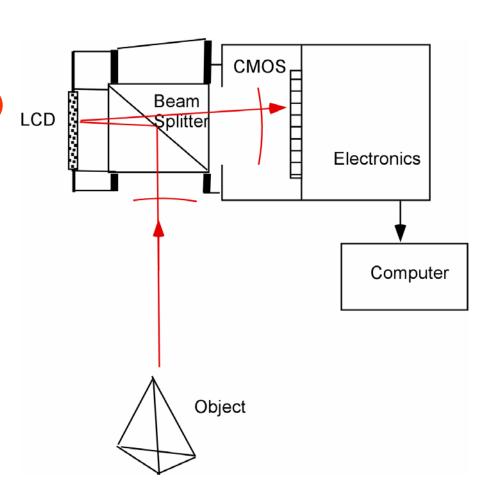
CMOS

1024X1024 pixels pixel size 10µm

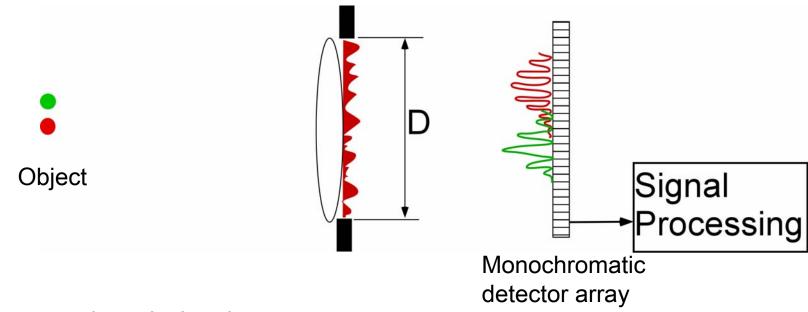
Current Status

Theory finished (Monochromatic illumination)

Successful computer simulations completed (2/2008) in first contract



PROPOSAL on CODED APERTURE IMAGING SPECTROMETER¹⁴



- Dicke camera in optical regime
 Cross-correlation signal processing (Repeat for all wavelength)
- Spectral resolution $\Delta \lambda = \frac{\lambda^2}{2\pi(n-1)\sigma_h}$
- Can be lensless
- Color imaging without Bayer's filter

 Better color rendition than R G B
- Research Topics: aperture pattern & contrast; digital processing